

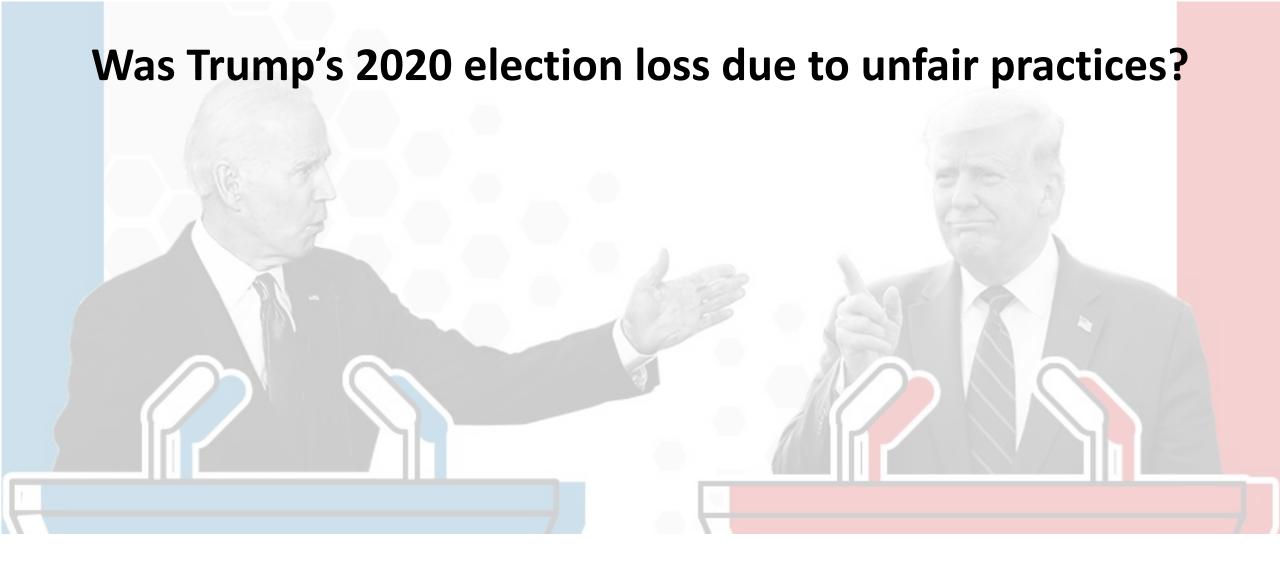
Ankita Gupta¹, Su Lin Blodgett²,

Justin H. Gross¹, and Brendan O'Connor¹

¹University of Massachusetts Amherst

²Microsoft Research Montréal

11th Annual Conference on New Directions in Analyzing Text as Data, October 27, 2021



Was Trump's 2020 election loss due to unfair practices?



March 2021, **55**% of polled U.S. Republicans thought Trump's loss was due to "illegal voting or election rigging."

Was Trump's 2020 election loss due to unfair practices?



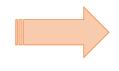
March 2021, **55%** of polled U.S. Republicans thought Trump's loss was due to "illegal voting or election rigging."

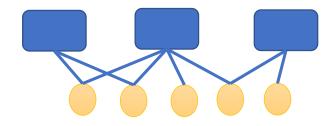
Political Discourse is full of assertions about the world, hypotheticals, and disputes over opponents' claims.



1. Raw Text



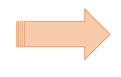


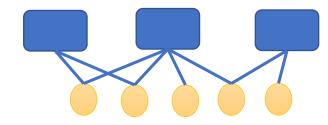


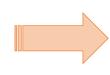
1. Raw Text

2. Extract knowledge base of beliefs from text corpus











1. Raw Text

2. Extract knowledge base of beliefs from text corpus

3. Answer socio-political questions

Extract knowledge base of beliefs from text corpus

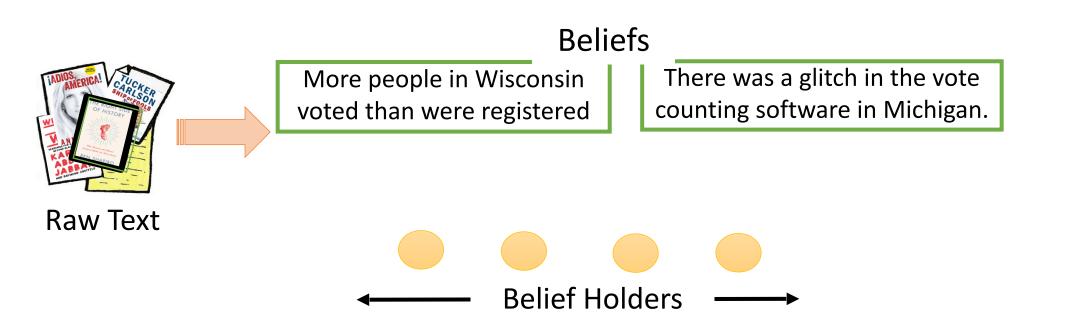
Beliefs

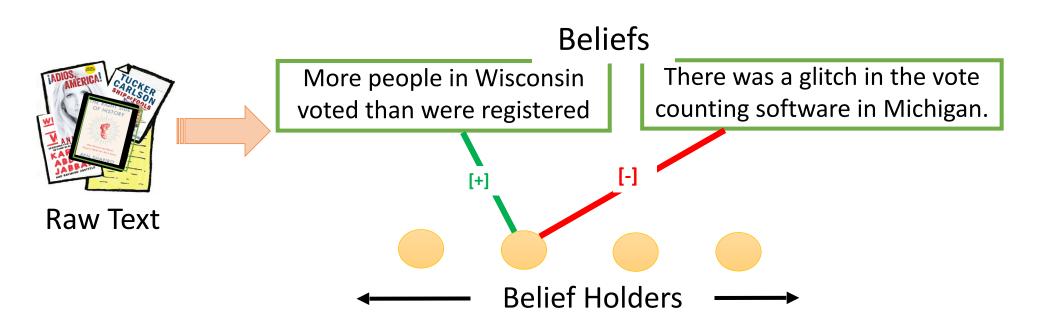


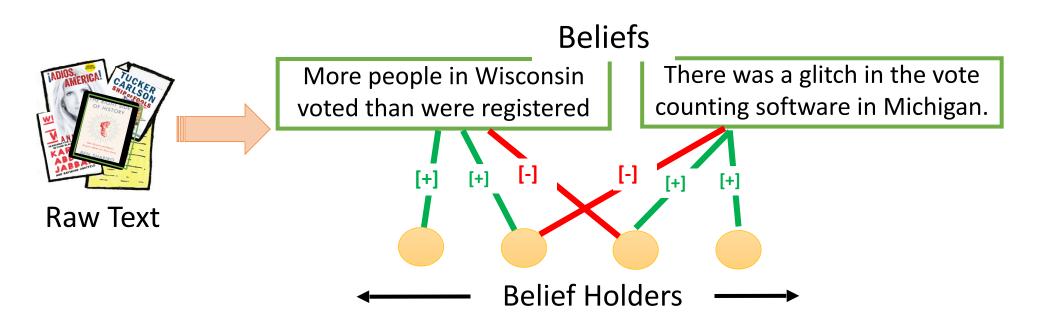
More people in Wisconsin voted than were registered

There was a glitch in the vote counting software in Michigan.

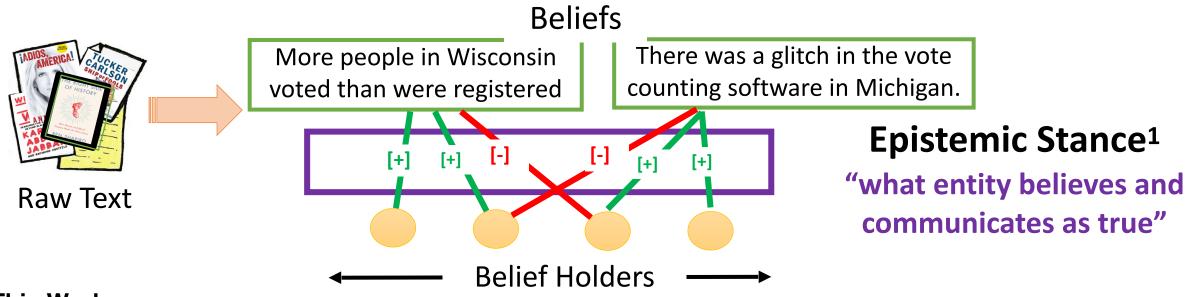
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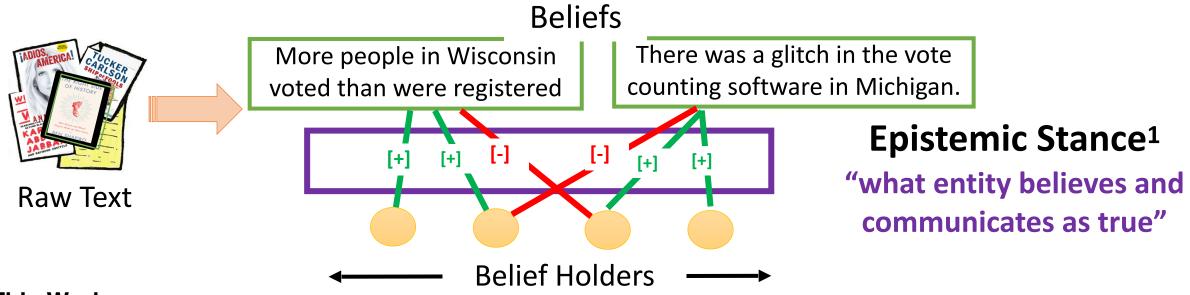
Extract knowledge base of beliefs from text corpus



¹This Work:

Epistemic Stance Analysis: Anderson, 1986; Biber and Finegan, 1989; Palmer, 2001; Arrese, 2009; Langacker, 2009 Concept Networks: Beauchamp et al., 2017; Heider, 1958

Extract knowledge base of beliefs from text corpus



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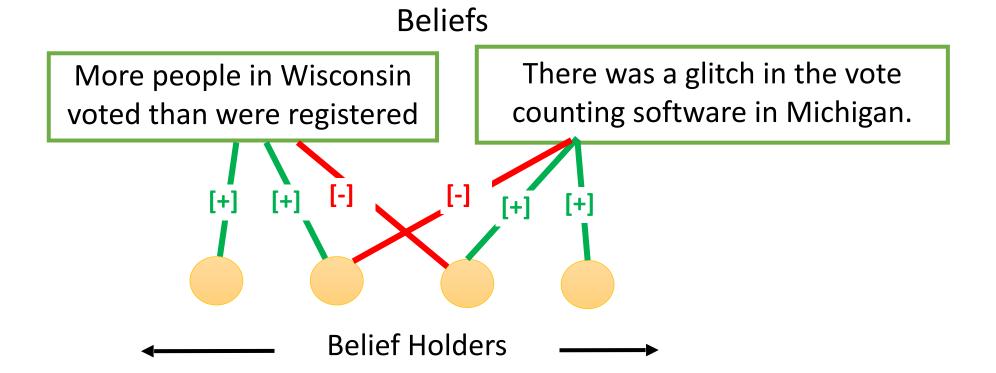
Concept Networks: Beauchamp et al., 2017; Heider, 1958

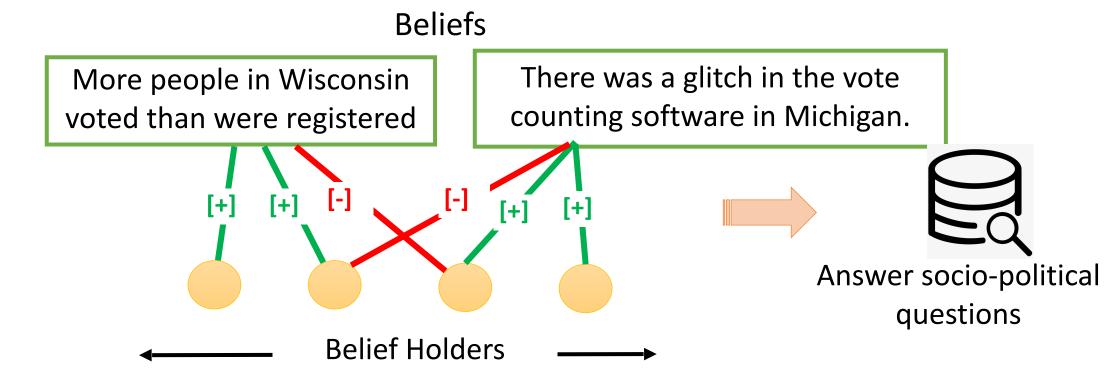
In contrast to

Sentiment, affect and subjectivity analysis: Liu, 2012; Pang and Lee, 2008; Ochs and Schieffelin, 1989

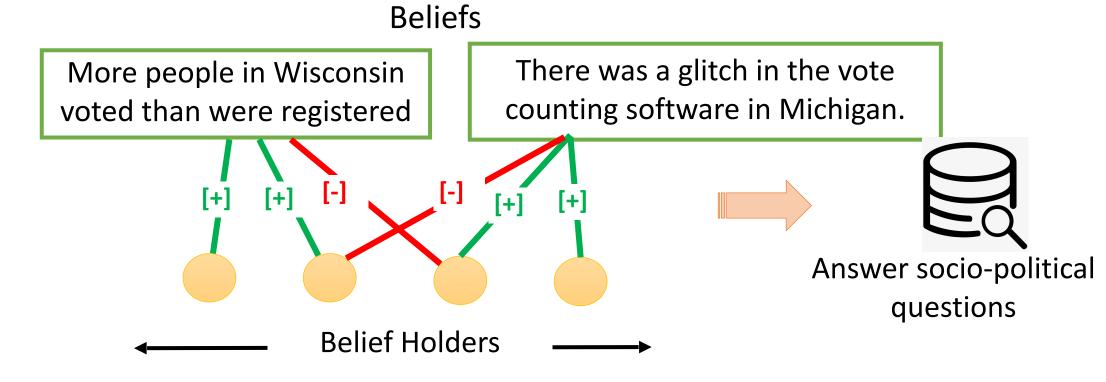
Opinion mining: Wiebe et al., 2005; Bethard et al., 2004; Kim and Hovy, 2004; Choi et al., 2005

Argument mining: Trautmann et al., 2020; Toulmin, 1958; Walton, 1996



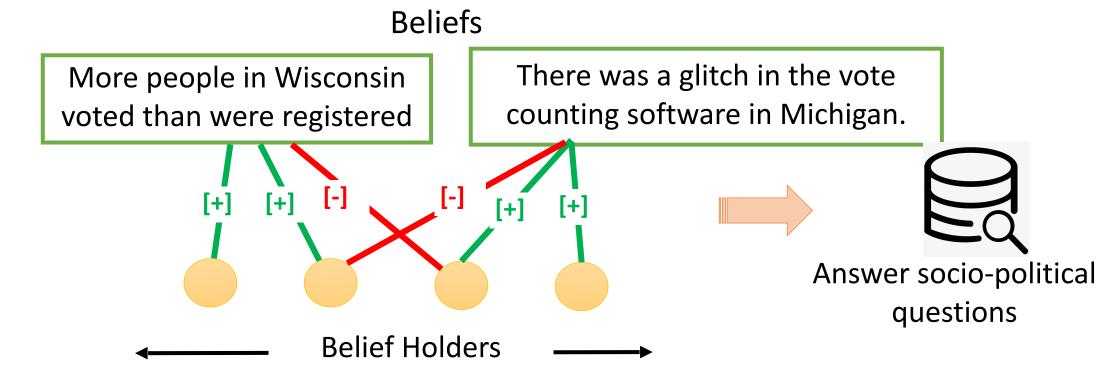


Query knowledge base to answer socio-political questions



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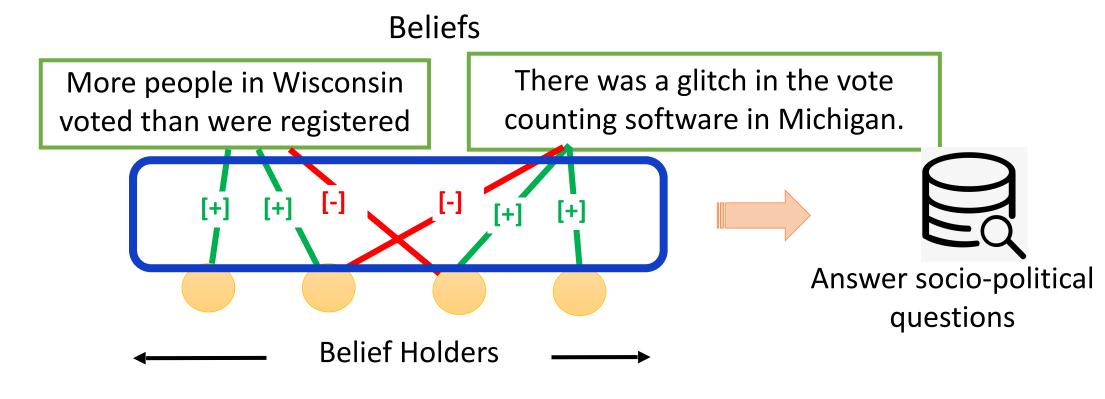
"What fraction of Republicans believe Wisconsin elections were unfair?"



Query knowledge base to answer socio-political questions

"What fraction of Republicans believe Wisconsin elections were unfair?"

Belief communities, political polarization, filter bubbles in social networks, possibly fake news



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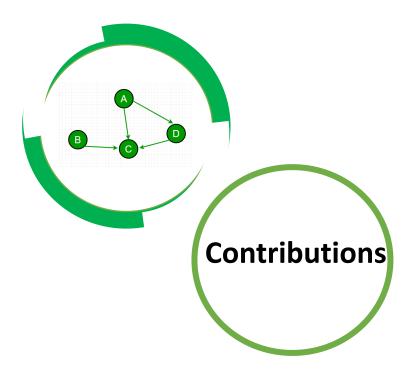
Belief communities, political polarization, filter bubbles in social networks, possibly fake news

This work: Epistemic stances between beliefs and belief holders



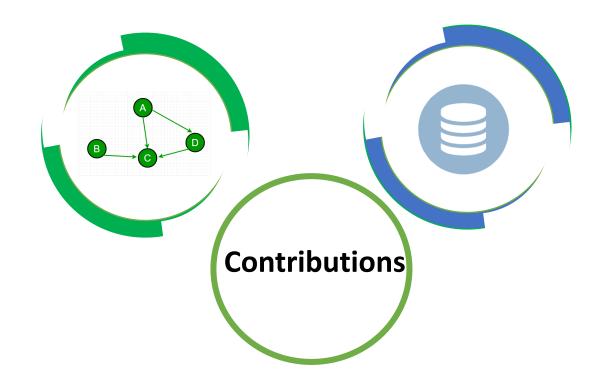
1. Task

Epistemic stance in the political domain.



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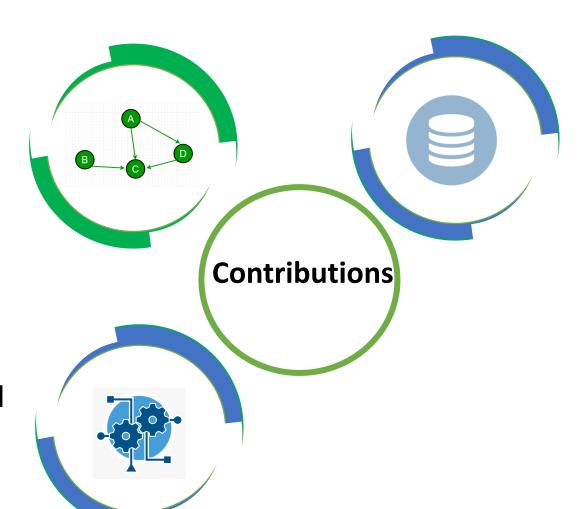


2. New Dataset

Annotations for U.S. political books with diverse ideologies.

1. Task

Epistemic stance in the political domain.



2. New Dataset

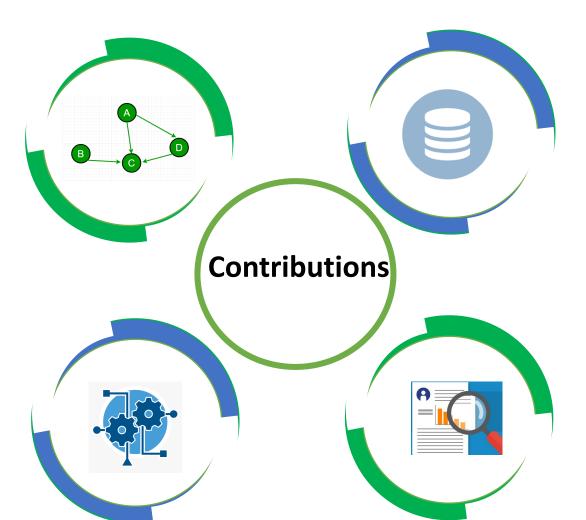
Annotations for U.S. political books with diverse ideologies.

3. Model

Provide baseline model & evaluations.

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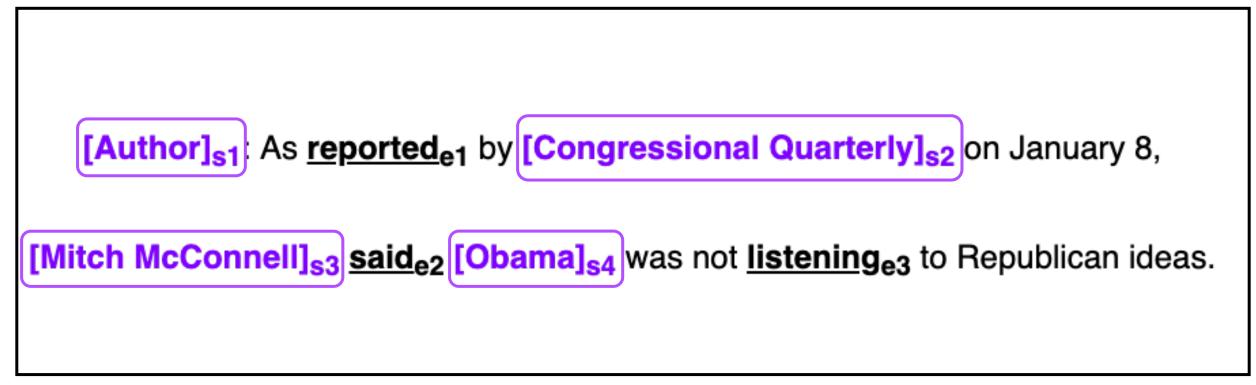
Provide baseline model & evaluations.

4. Case Study Identification of Belief Holders

1. Task

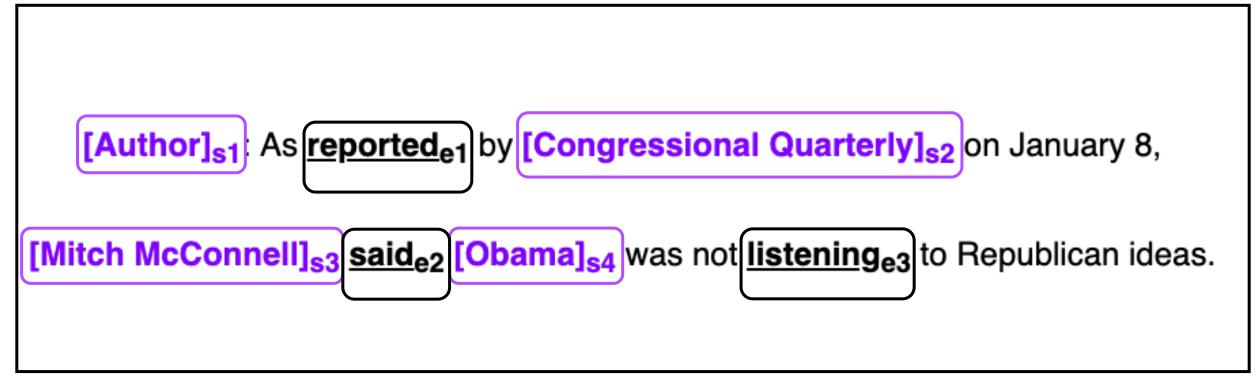
[Author]_{s1}: As <u>reported</u>_{e1} by [Congressional Quarterly]_{s2} on January 8,

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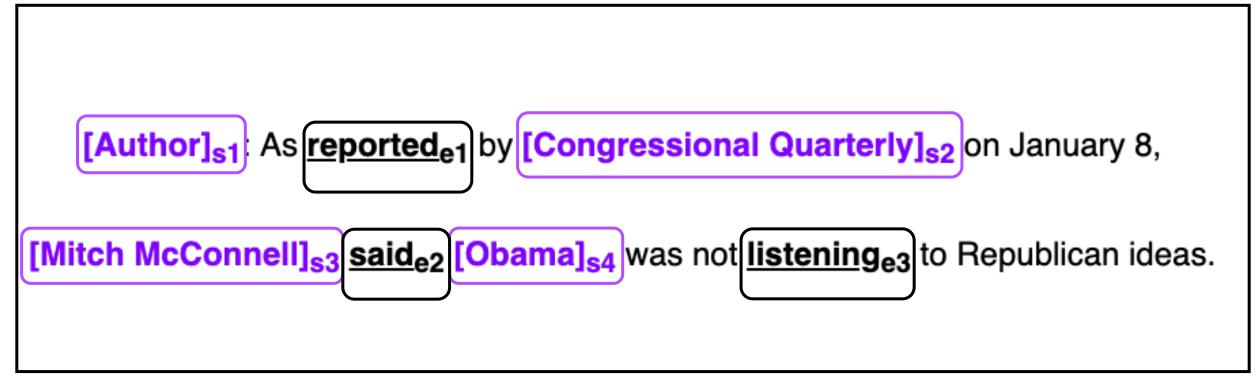


A simplified example adapted from "Fighting for common ground" by Olympia Snowe, 2013

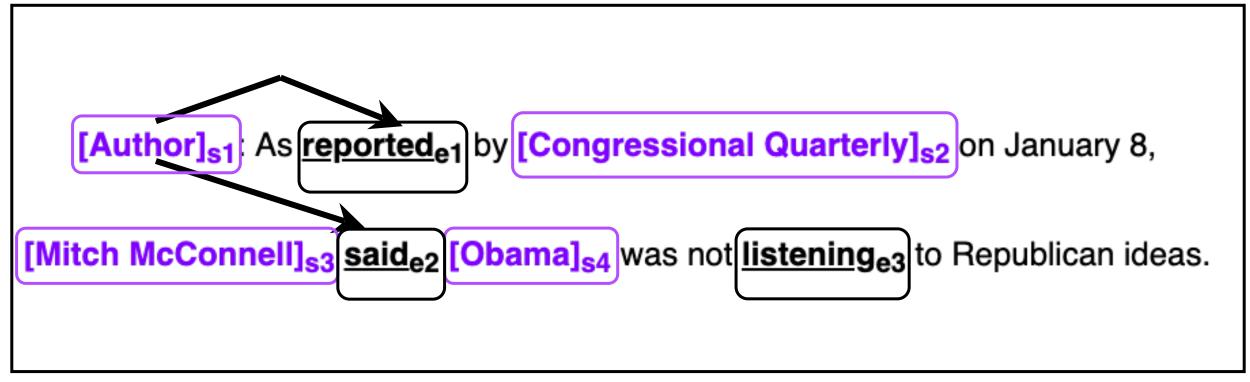
1. [Source]: A potential belief holder (author or an entity mentioned in text).



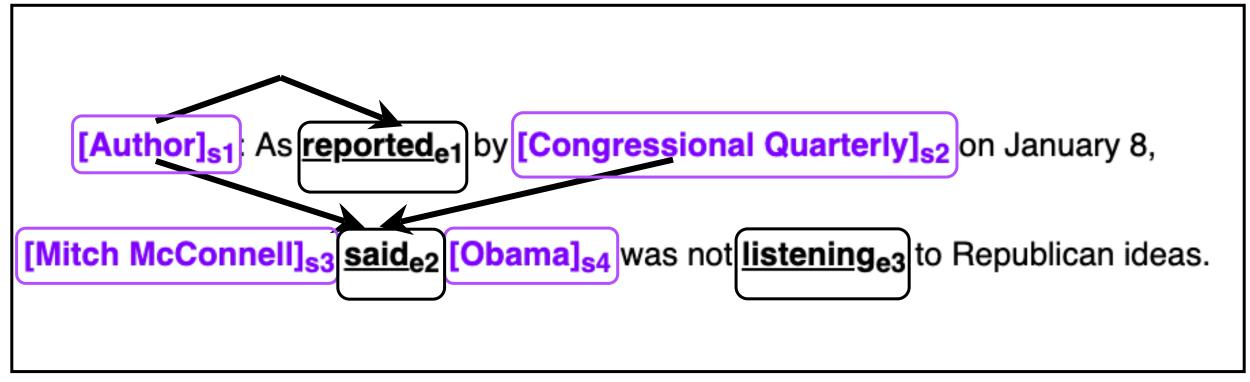
- **1.** [Source]: A potential *belief holder* (author or an entity mentioned in text).
- 2. Event: States, processes, situations, propositions, facts, and possibilities.



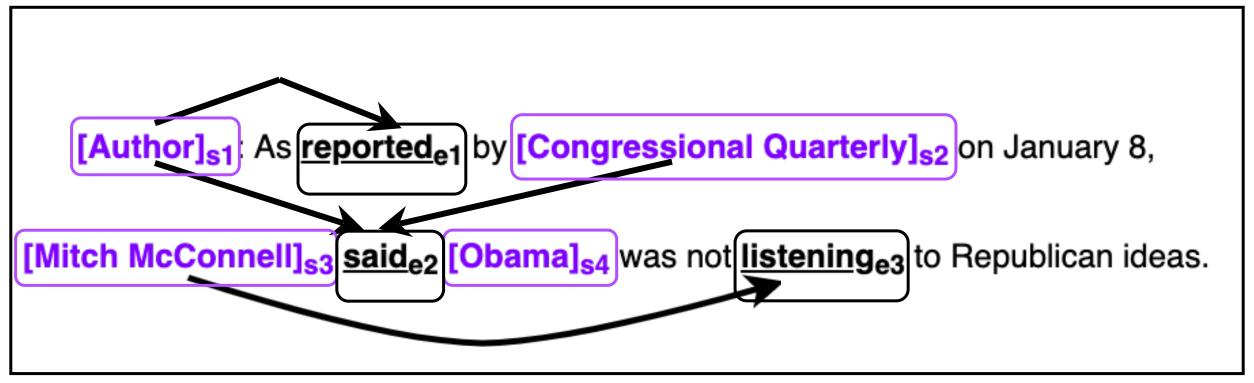
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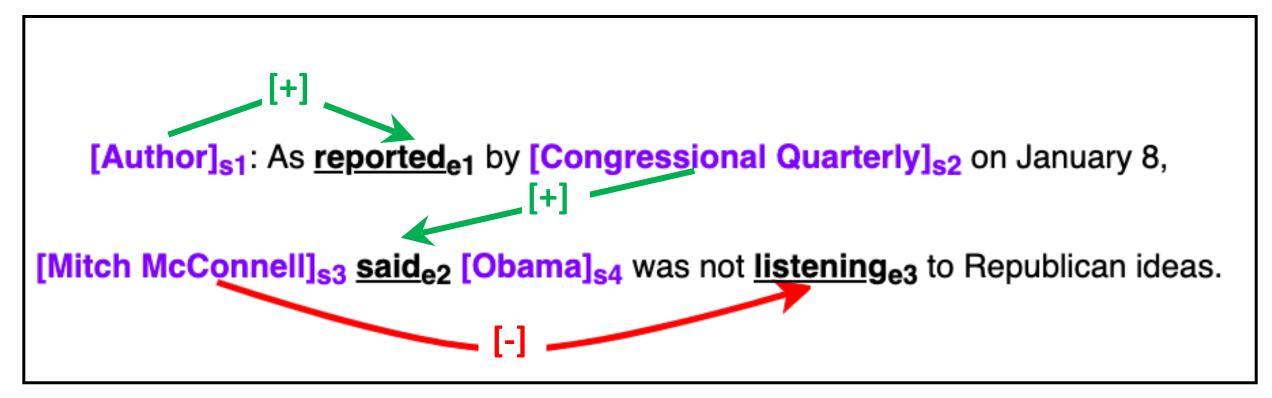
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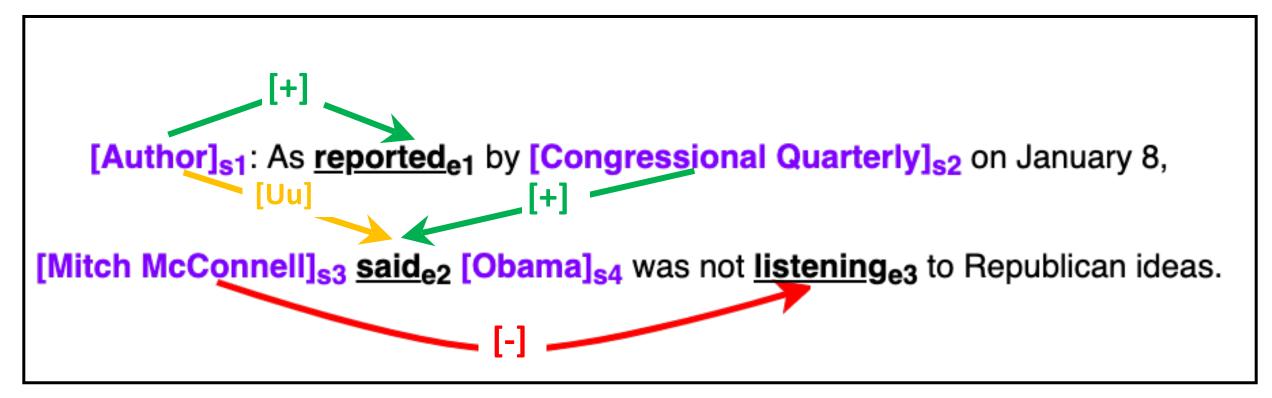
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Positive: The source believes that the event happened.



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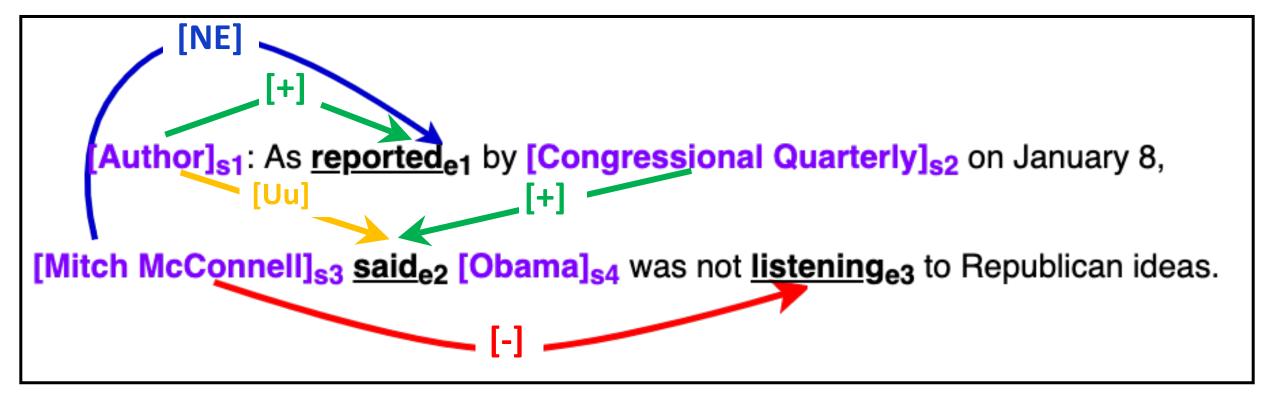
Negative: The source believes that the event did not happen.



Positive: The source believes that the event happened.

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Uncommitted: The source is unsure about the status of the event.



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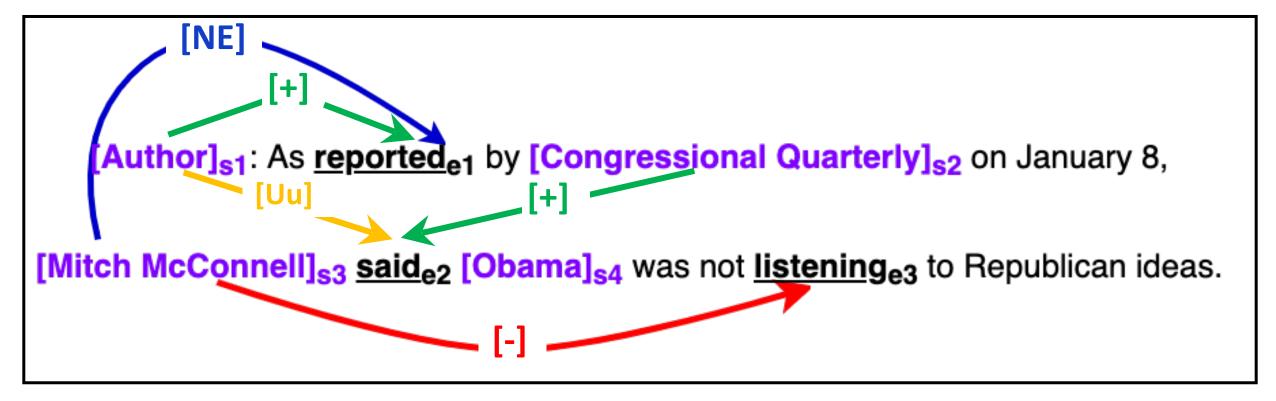
Uncommitted: The source is unsure about the status of the event.

Non-Epistemic: Does not make sense to assess stance of this source-event pair.

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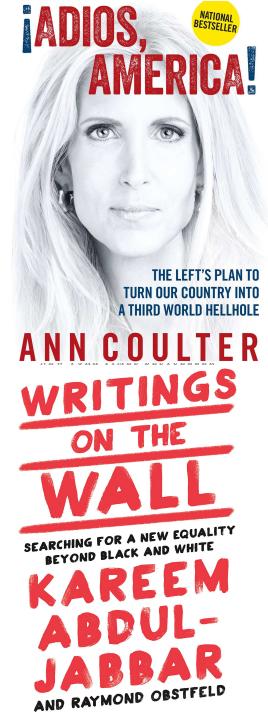
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Political opinionated texts, unlike news, are rich in complex interplay of varied stances exhibited by different sources towards an event.



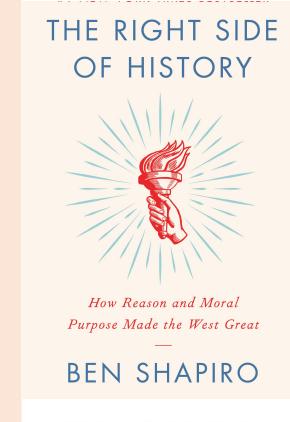
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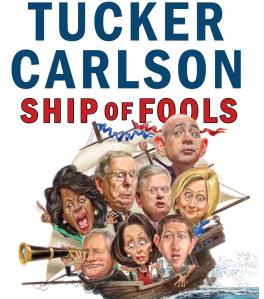
2. Dataset

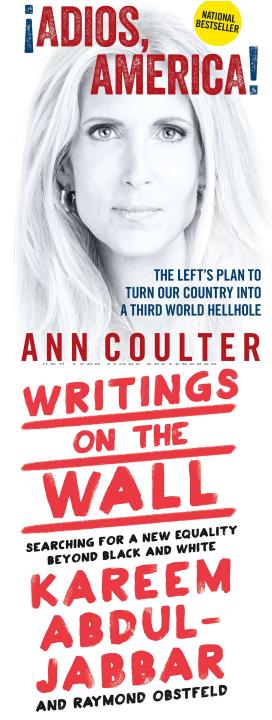


Overview

• Contemporary American Ideological Books (~published 1999 - 2018; Sim et al., 2013).

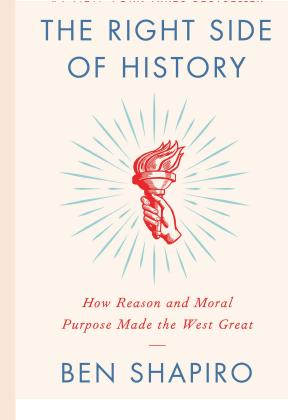


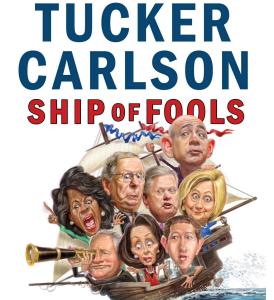


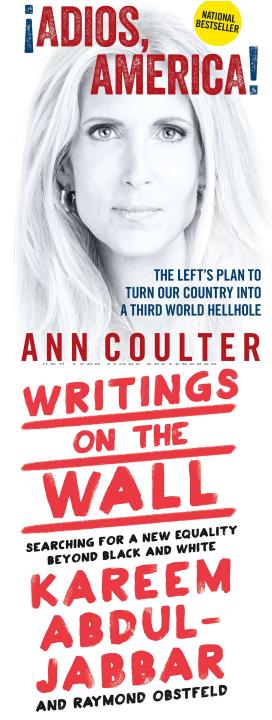


Overview

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- For annotations: 308 sentences, one from each book.

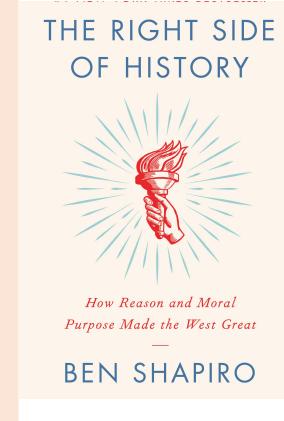


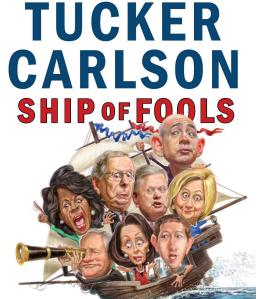




Overview

- Contemporary American Ideological Books (~published 1999 2018; Sim et al., 2013).
- For annotations: 308 sentences, one from each book.
- Linguistically complex:
 - Sentences with > 15 tokens
 - At least one embedded event.







Despite the clever Reagan mantra, **government** became the solution again - with the losses **borne** not by those individuals who wrecked the economy while growing wealthy, but by the very community they scorned.

Source: government

Event: borne

What is this source's belief about the highlighted event or property?

- O Did happen or is true
- O Did not happen or is not true
- Unsure
- N/A (the question doesn't make sense)



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A sample prompt shown to annotators.



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Epistemic Stances

Statistics

(Count of Annotated Event Source Pairs)

Positive	Negative	Uncommitted	Non-Epistemic	Total
(Event happened)	(Event did not happen)	(Uncertain)	(Does not make sense)	
1176	254	641	6394	8465

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Labels: Annotator reliability weighted judgments (MACE; Hovy et al., 2013).

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Inter-Annotator Agreement Rates

Raw 79.3 Chance-adjusted (Krippendorff α) 55.4¹

3. Modelling

[Author]_{s1}: Historically, [Germany]_{s2} has imagined_{e1} nuclear power to be safe_{e2}.

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Lexicon Based Approach

(DeFacto, Saurí and Pustejovsky, 2012)

Lexicon

Positive Stance

- 1. Think
- 2. Suppose
- 3. Believe
- 4. Imagine
- 5. .

[+]

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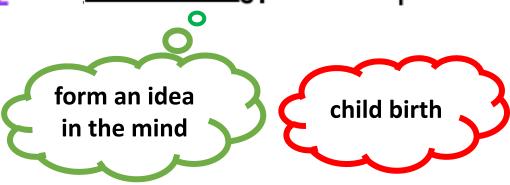
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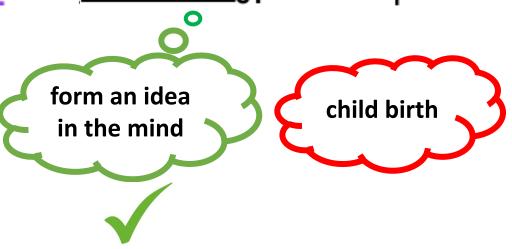
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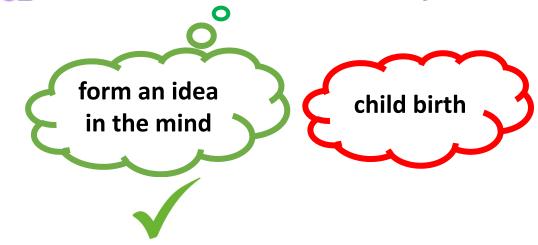
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Lexicon

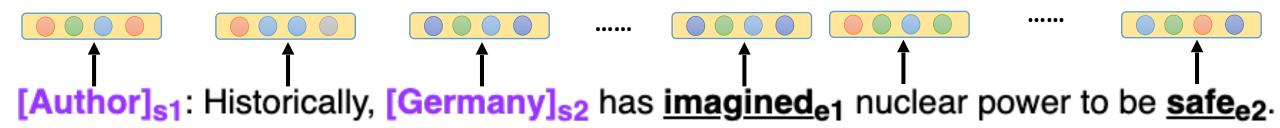
Positive Stance

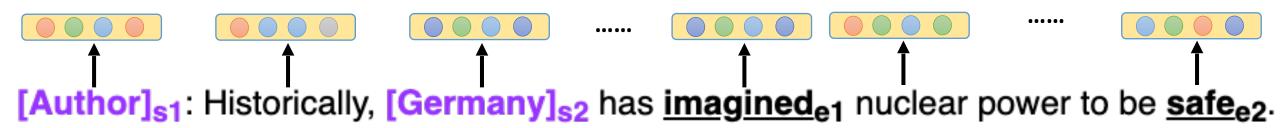
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Brittle!



Words can have different meanings in different contexts





BERT (Devlin et al., 2019)



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1. Captures how words interact to create semantic meaning.1

¹ Usefulness of BERT: Tenney et al., 2019; Rogers et al., 2020.



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BERT (Devlin et al., 2019)

- 1. Captures how words interact to create semantic meaning.1
- 2. Useful in syntactic and semantic parsing tasks.¹
- 3. Public release (pre-trained on large unlabeled textual corpora).²

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² Public Release: Paszke et al., 2017; Gardner et al., 2018; https://huggingface.co/transformers/model_doc/bert.html

Label Model Linear Layer Source **Event** Embedding Embedding Author: Sarah went to party RoBERTa Author: Sarah went to party Author: Sarah went to party

Event

Source

Label Model Linear Layer Source **Event** Embedding Embedding Author: Sarah went to party RoBERTa Author: Sarah went to party Author: Sarah went to party Source **Event**

InputSentence with source and event

Label Model Linear Layer **Event** Source **Embedding** Embedding Author: Sarah went to party Roberta Author: Sarah went to party Author: Sarah party went to

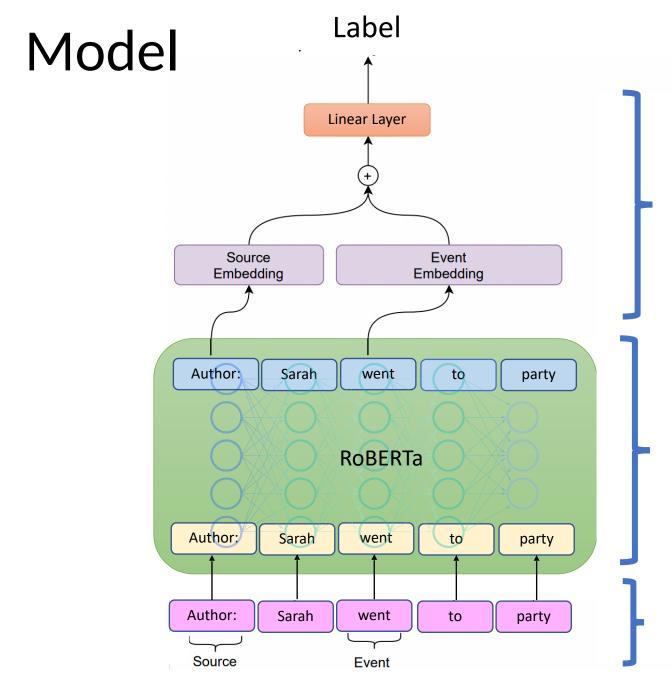
Event

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RoBERTa Model Contextual Word Embeddings

Input

Sentence with source and event

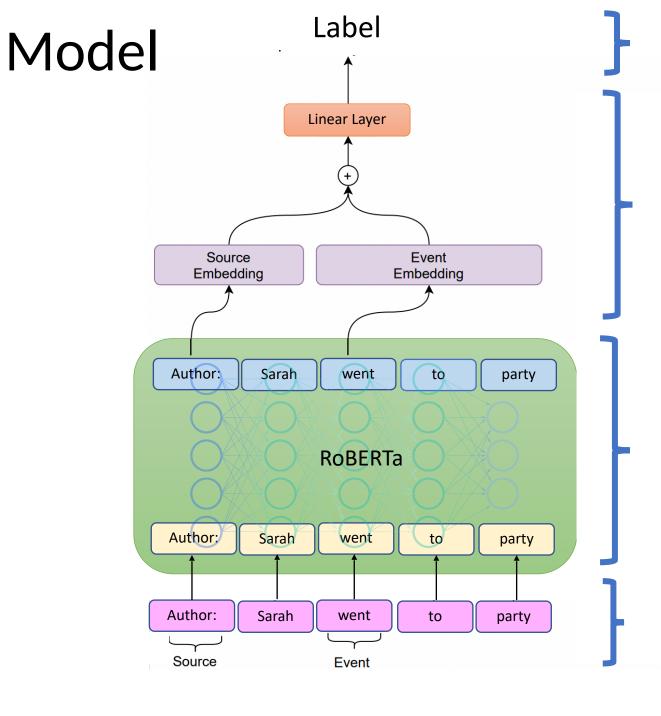


Classification Layer

RoBERTa Model
Contextual Word Embeddings

Input

Sentence with source and event



Output

Epistemic Stance Label

Classification Layer

RoBERTa Model
Contextual Word Embeddings

Input

Sentence with source and event

Fine Tuning and Domain Adaptation¹

Fine Tuning and Domain Adaptation¹

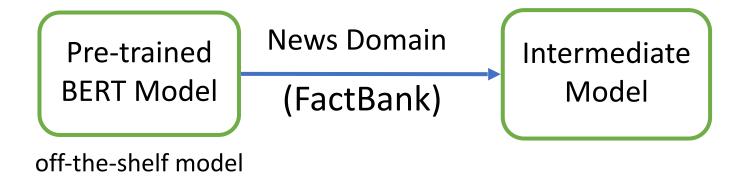
Fine Tuning and Domain Adaptation¹

Useful in low resource settings

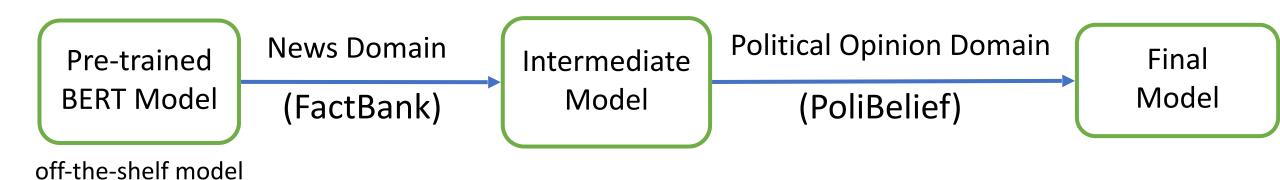
Pre-trained BERT Model

off-the-shelf model

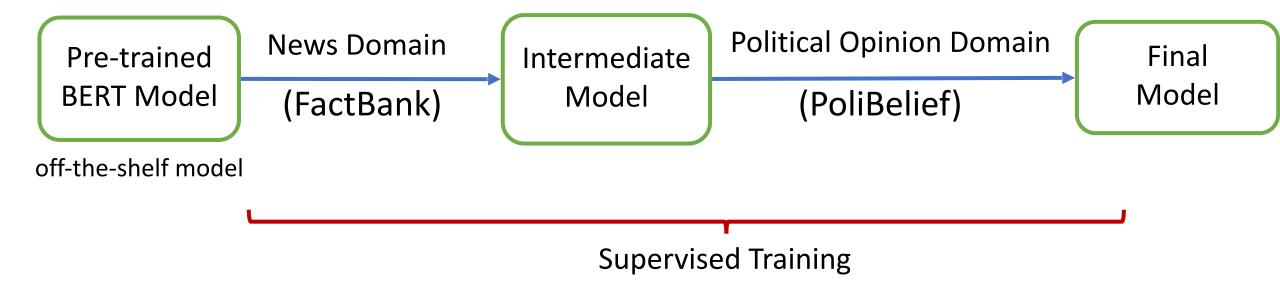
Fine Tuning and Domain Adaptation¹

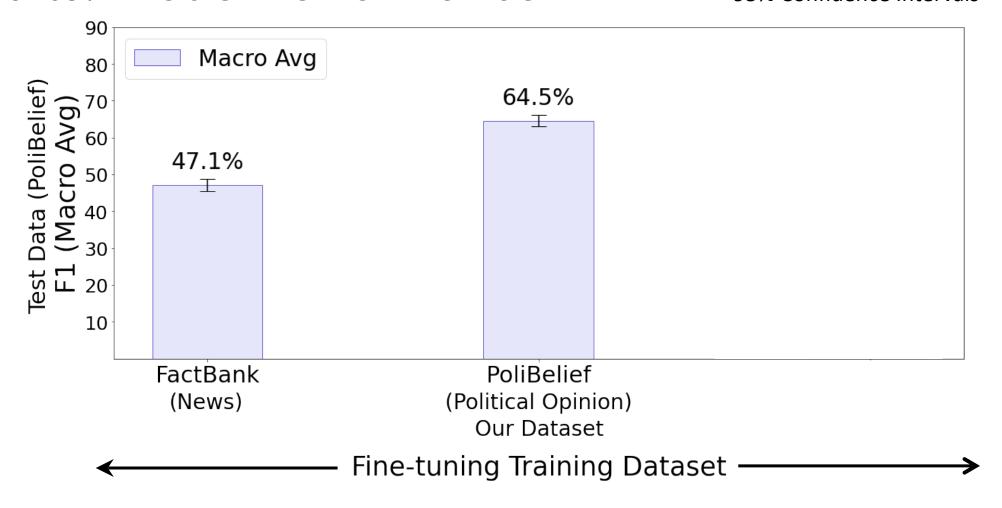


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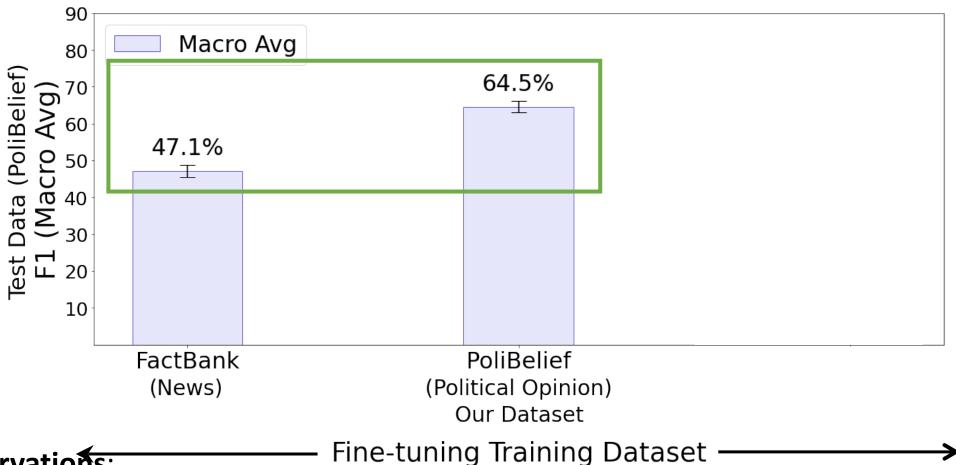


Fine Tuning and Domain Adaptation¹



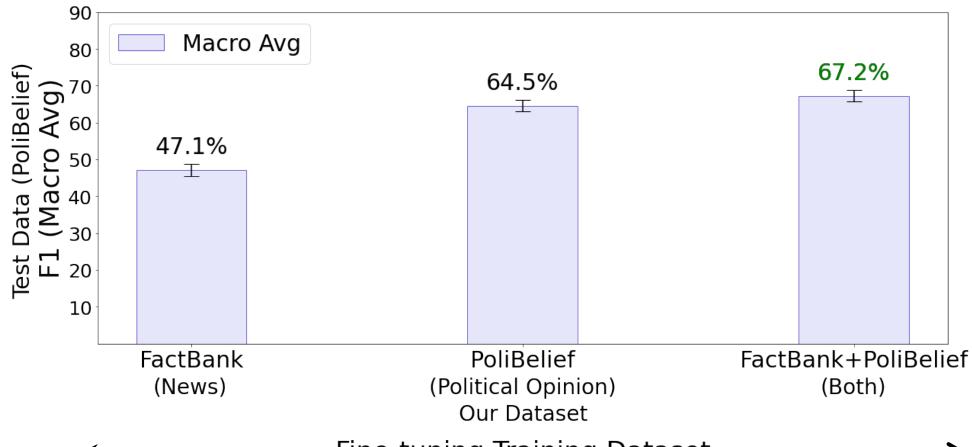


**More results for frozen BERT setting and performance in absence/presence of Negative Polarity Items analysis available



Key Observations:

Substantial domain shift.



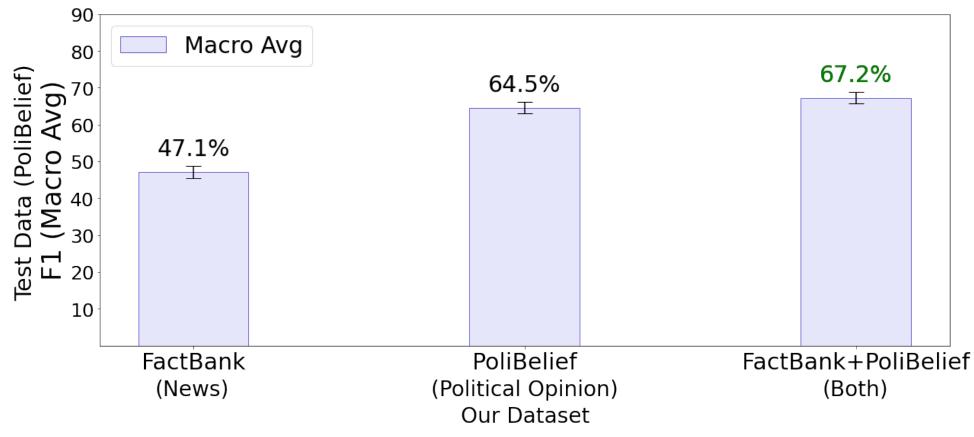
Key Observations:

Fine-tuning Training Dataset ————

- Substantial domain shift.
- 2. Domain adaptation setting (training on both domains) performs best.

Results: Model Performance

95% Confidence Intervals



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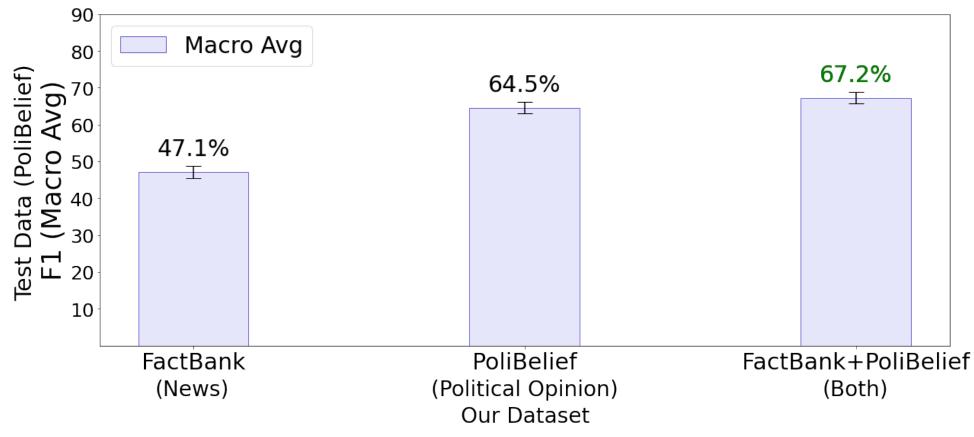
- Substantial domain shift.
- 2. Domain adaptation setting (training on both domains) performs best.
- 3. Outperforms previous rule based epistemic stance analyzer (DeFacto, Saurí and Pustejovsky, 2012).

**More results for frozen BERT setting and performance in absence/presence of Negative Polarity Items analysis available

19

Results: Model Performance

95% Confidence Intervals



Key Observations:

Fine-tuning Training Dataset ————

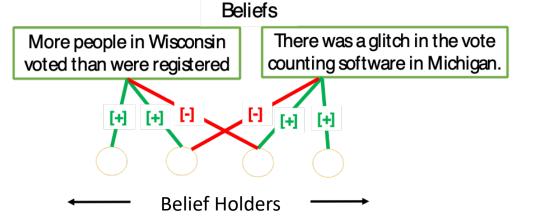
- Substantial domain shift.
- 2. Domain adaptation setting (training on both domains) performs best.
- 3. Outperforms previous rule based epistemic stance analyzer (DeFacto, Saurí and Pustejovsky, 2012).

**More results for frozen BERT setting and performance in absence/presence of Negative Polarity Items analysis available

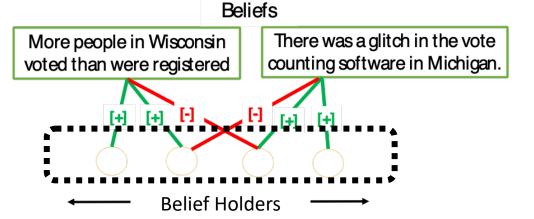
19

4. Case Study

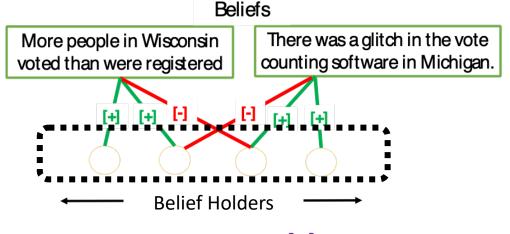
A preliminary step towards building knowledge base of beliefs is to identify belief holders.



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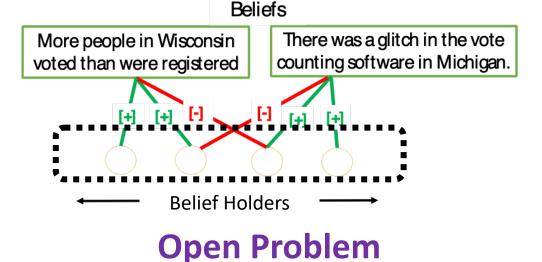
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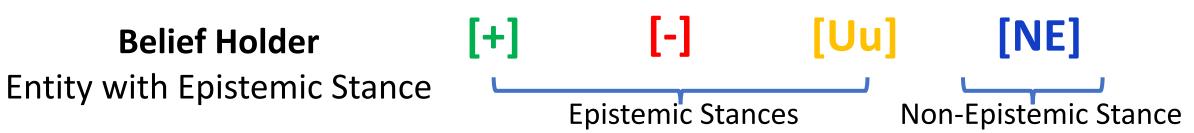
Open Problem

How can we identify entities which hold beliefs according to the author of the text?

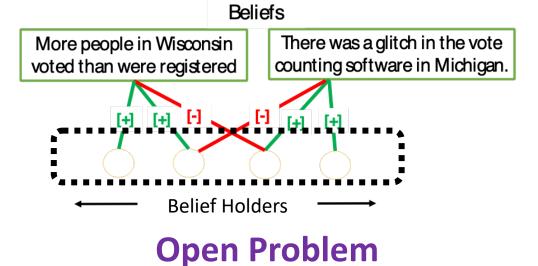
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A preliminary step towards building knowledge base of beliefs is to identify belief holders.

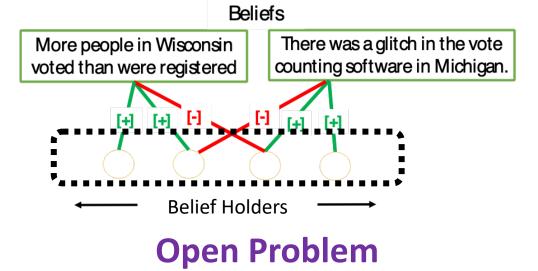


How can we identify entities which hold beliefs according to the author of the text?



1. Traditional entities (e.g., person, organization) hold beliefs.

A preliminary step towards building knowledge base of beliefs is to identify belief holders.

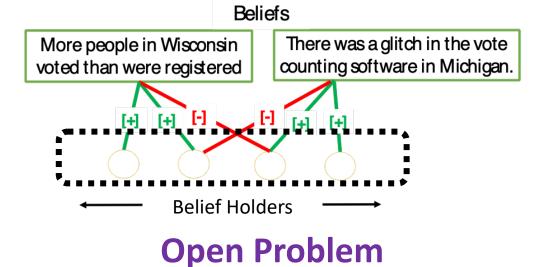


How can we identify entities which hold beliefs according to the author of the text?



- 1. Traditional entities (e.g., person, organization) hold beliefs.
- 2. However, countries, events and work of art can also have beliefs.

A preliminary step towards building knowledge base of beliefs is to identify belief holders.



How can we identify entities which hold beliefs according to the author of the text?



- 1. Traditional entities (e.g., person, organization) hold beliefs.
- 2. However, countries, events and work of art can also have beliefs.
- 3. See forthcoming paper: belief holder extraction; quantitative analysis against NER.

Agency versus location interpretation of a geo-political entity.

Agency versus location interpretation of a geo-political entity.



Abdul-Jabbar and Obstfeld, 2016

[-] Negative: The source believes that the event did not happen.

Agency versus location interpretation of a geo-political entity.

Author: The truth was, in 1914, [Germany]_s doesn't <u>want</u>_{e1} war.

Government

Abdul-Jabbar and Obstfeld, 2016

[-] Negative: The source believes that the event did not happen.

Agency versus location interpretation of a geo-political entity.



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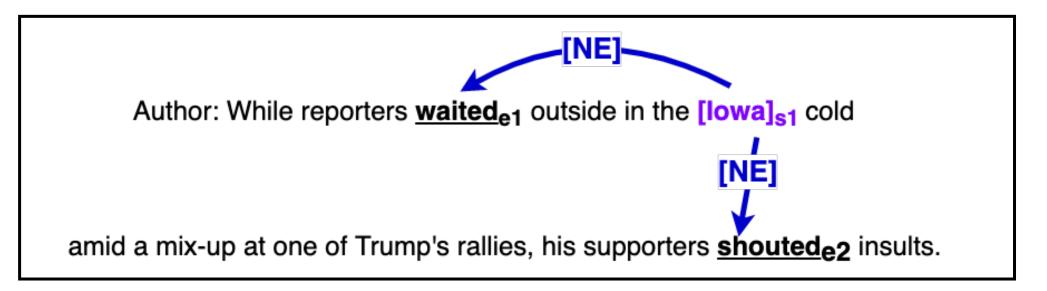
Agency versus location interpretation of a geo-political entity.



Government



Abdul-Jabbar and Obstfeld, 2016



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[-] Negative: The source believes that the event did not happen.

[NE] Non-Epistemic: Does not make sense to assess stance of this source-event pair.

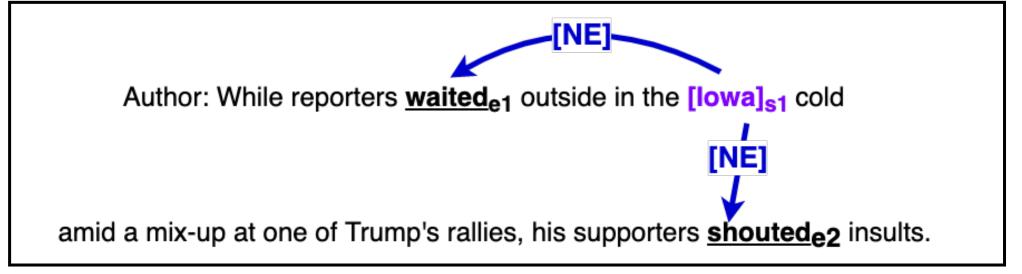
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Government



Abdul-Jabbar and Obstfeld, 2016



Location

Abdul-Jabbar and Obstfeld, 2016

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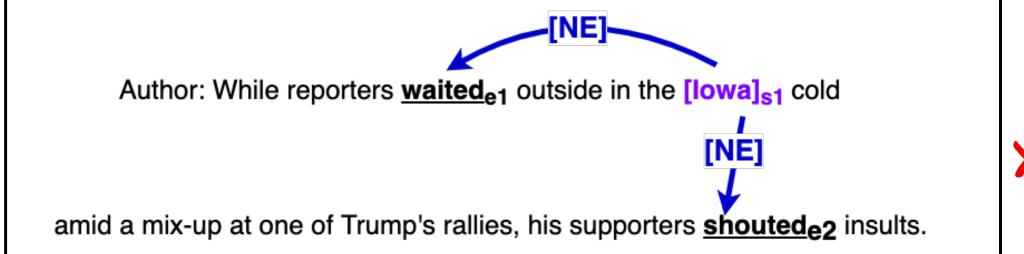
Agency versus location interpretation of a geo-political entity.



Government



Abdul-Jabbar and Obstfeld, 2016



Location Relief Hold



Abdul-Jabbar and Obstfeld, 2016

[-] Negative: The source believes that the event did not happen.

[NE] Non-Epistemic: Does not make sense to assess stance of this source-event pair.

Main Takeaways

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1. Task

Epistemic stance in the political domain.

2. New Dataset

Annotations for U.S. political books with diverse ideologies.

3. Model

Developed baseline BERT based model.

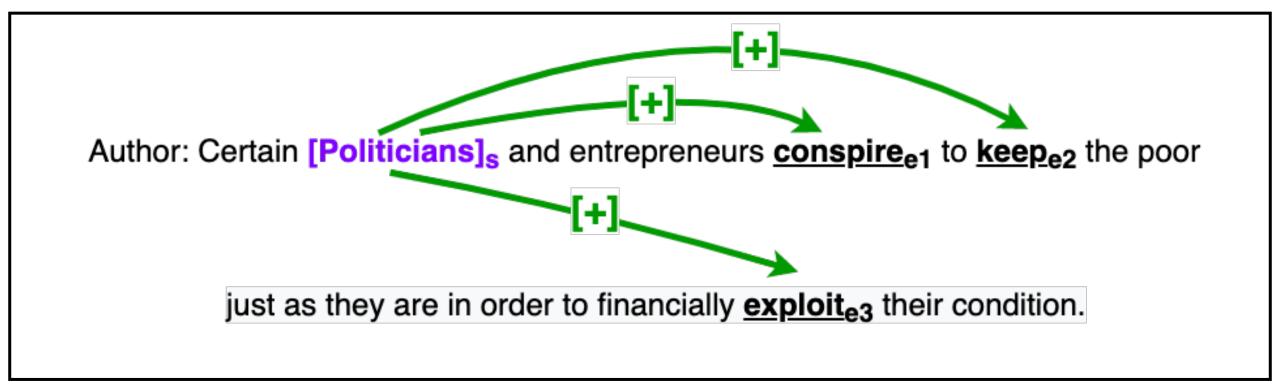
4. Case Study

Identified interesting belief holders using epistemic stance modelling.

Dataset and Model https://github.com/slanglab/factuality_data

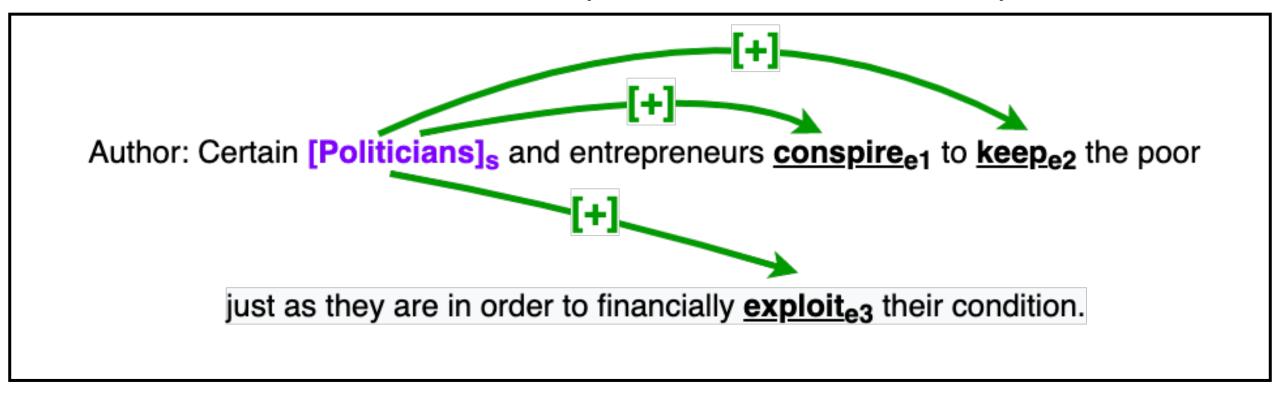
Appendix

Belief holder identified by our model but missed by NER.



Abdul-Jabbar and Obstfeld, 2016

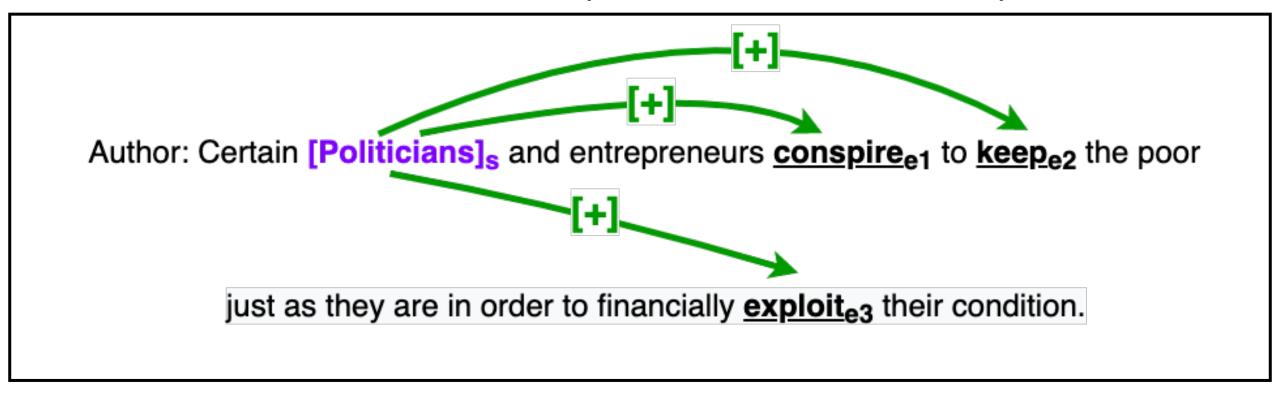
Belief holder identified by our model but missed by NER.



Abdul-Jabbar and Obstfeld, 2016

Politicians with positive stance is considered as a belief holder.

Belief holder identified by our model but missed by NER.



Abdul-Jabbar and Obstfeld, 2016

Politicians with positive stance is considered as a belief holder.

Politicians believe they're conspiring and believe the conspiracy goals are happening.

Results: Negative Polarity Items (NPI) Analysis

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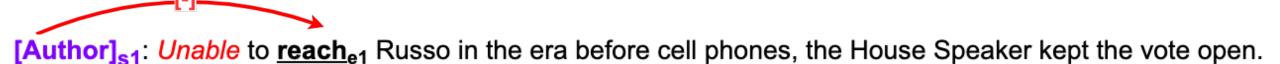
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```
[Author]<sub>s1</sub>: This legislation won't <u>solve</u><sub>e1</sub> the problem, [+]
but it will <u>promote</u><sub>e2</sub> progressive ideas.
```

In a sentence with NPI, not all source-event pairs have negative epistemic stance.

[Author]_{s1}: Unable to reach_{e1} Russo in the era before cell phones, the House Speaker kept the vote open.

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Sentences without NPI may contain source-event pairs with negative epistemic stance.

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Is BERT capable of handling negation-bearing constructions?

BERT model predicted label!

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Sentences without NPI may contain source-event pairs with negative epistemic stance.

Is BERT capable of handling negation-bearing constructions?

The BERT has **some ability** to deal with such complex connections between negation-bearing constructions like unable to, difficult, refuse, etc.

Results: Comparison to DeFacto

DeFacto is a rule-based model for multi-source predictions on FactBank corpus

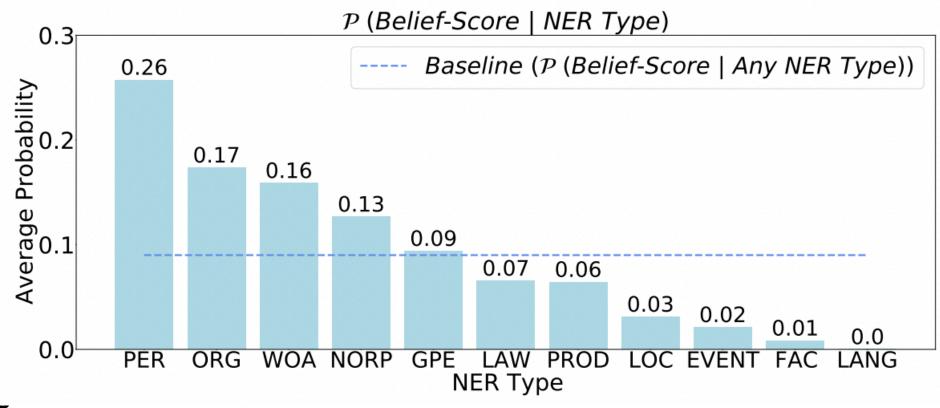
Label	DeFacto	BERT
Pos	84.0	90.3 ± 0.011
Neg	75.0	77.0 ± 0.088
Uu	76.0	85.6 ± 0.015
Macro Avg.	78.3	84.2 ± 0.031

F1 measure for epistemic-only three-class models evaluated on FactBank.

Key Observations:

- 1) Validation of our modelling approach.
- 2) The BERT model outperforms DeFacto for all categories (p-value = 0.04, two-tailed test).
- 3) Negative class is slightly improved.

Relationship with Named Entity Recognition (NER) Viewing any entity with epistemic stances as a belief holder



Key Observation

- Different NER categories display a range of likelihood to be belief holders.
- Person type has highest belief score.
- Non-obvious types such as Work of Art as belief holders.